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PATENT

Docket No.: 50325-0854

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE** JUN 14 2006 9:30

In re Application of

Sunil K. Srivastava:

Serial No.: 10/715,932

Filed: November 17, 2003

For: **OPERATIONAL OPTIMIZATION OF A SHARED SECRET DIFFIE-HELLMAN KEY  
EXCHANGE AMONG BROADCAST OR MULTICAST GROUPS**

Confirmation No. 4247

Group Art Unit: 2131

Examiner: Christian A. LaForgia

**REQUEST FOR REFUND**

Mail Stop 16  
Director of the US Patent and Trademark Office  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

At the time of filing an Information Disclosure Statement and Form PTO-1449 on March 24, 2005, an amount of \$180 was charged to Deposit Account No. 50-1302 by the United States Patent and Trademark Office. It is requested that this fee of \$180 be refunded and credited to Deposit Account No. 50-1302 in the above referenced patent application. We believe that this fee was charged in error.

This Information Disclosure Statement was being filed under 37 C.F.R. §1.97(c). Had we chosen 37 C.F.R. §1.97(d), the fee of \$180.00 would be required. However 37 C.F.R. §1.97(c) and the accompanying statement requires no fee (copy of pages 1 and 2 of Information Disclosure Statement dated 3/24/05 enclosed).

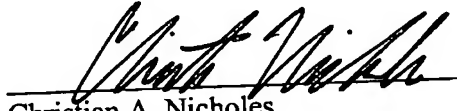
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Serial No.: 09/503,984

Thus, we request the refund of \$180.00 be refunded and credited to Deposit Account No. 50-1302 in the above referenced patent application. If calling the undersigned attorney would aid in furthering this request, he may be reached at the number listed below.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP



Christian A. Nicholes  
Reg. No. 50,266

Date: June 7, 2005

2055 Gateway Place, Suite 550  
San Jose, California 95110-1089  
Tel: (408) 414-1

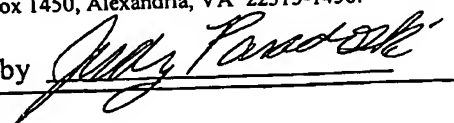
Fax: (408) 414-1076

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop 16, Director of the US Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450.

on June 7, 2005

by



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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Sunil K. Srivastava

Serial No.: 10/715,932

Filed on: November 17, 2003

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Confirmation No.: 4247

Examiner: Christian A. Laforgia

Group Art Unit No.: 2131

For: OPERATIONAL OPTIMIZATION OF A SHARED SECRET DIFFIE-HELLMAN  
KEY EXCHANGE AMONG BROADCAST OR MULTICAST GROUPS

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

       37 C.F.R. §1.97(b).

  X   37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:

  X   A statement pursuant to 37 C.F.R. §1.97(e)

\_\_\_\_\_ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

X 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_\_ 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e)

\_\_\_\_\_ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

\_\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

\_\_\_\_\_ 37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.

- (1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.



ifw

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In re application of:

Sunil K. Srivastava

Serial No.: 10/715,932

Filed on: November 17, 2003

) Confirmation No.: 4247  
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) Examiner: Christian A. Laforgia  
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\_\_\_\_\_ 37 C.F.R. §1.97(b).

X 37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:

X A statement pursuant to 37 C.F.R. §1.97(e)

Seq. No. 8785

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05/04/2005 FBRISCD 00000030 501302 10715932

01 FC:1806 180.00 DA

Adjustment date: 07/20/2005 SDIRETA1  
05/04/2005 FBRISCD 00000030 501302 10715932  
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